

“The Fundamentals of IT Auditing Seminar”

February 18, 25 & March 3, 2012

Mr. Juan B. Estioko, MBA, CPA, CISA, CFE, CICA

Venue: ISACA Manila Professional Development Center

“The Fundamentals of IT Auditing Seminar”
February 11, 18 & 25, 2012
Mr. Juan B. Estioko, MBA, CPA, CISA, CFE, CICA

Course Description

This Course aims to provide the participants an in-depth learning on the concepts and principles of IT Auditing that will be reinforced by practical approaches in the control and auditing of IT-enabled business and operating environment. It helps the participant finally realized that IT Auditing is “not as hard you think it is”.

Top-rate audit programs on key areas are provided in soft copy which serve as a precursor for the participant to relate the learning into his/her environment leading to better understanding and more confidence when certain doubts and reservations are clarified during the workshop/Q&A. This course anticipates that the participant is deemed adequately equipped and effective at work right in day one after the seminar.

Who should attend?

- For organizations who would like their IT staff to get IT auditing training;
- IT professionals with no audit experience;
- IT auditors with less than 2 years of

experience;

- Control professionals who would like to understand the universe of IT auditing;
- Financial auditors who would like to learn fundamentals of IT auditing before

considering career transition

Course Syllabus

This seminar will provide participants with a basic to advance understanding of the universe of IT auditing along with IT risks, control objectives, controls, audit procedures and reporting. It is for those professionals who already have prior knowledge of IT but would like to learn about IT auditing. Candidates are not required to have any prior audit experience for this seminar. However, attendees with less than 2 years of audit experience will also learn IT auditing in a methodical and organized way. The whole universe of auditing from A to Z will be covered. This seminar is highly recommended for those IT professionals who would like to make a transition from IT to IT Audit. The seminar will cover ISACA auditing standards, procedures, and guidelines, IT Governance principles and related audit programs.

“The Fundamentals of IT Auditing Seminar”
February 11, 18 & 25, 2012
Mr. Juan B. Estioko, MBA, CPA, CISA, CFE, CICA

Course Outline

1. The IT Audit Process

- Organization of the IS Audit Function
- Audit Planning
- IS Auditing Standards Framework
- Internal Control and IS Control Objectives
- Introduction to COBIT
- General and IS Controls
- Risk-Based Audit Methodology
- Compliance vs. Substantive Testing
- Introduction to Continuous Auditing

2. IT Governance Principles & Auditing IT Governance

- Definition
- IT Governance Issues
- Monitoring and Assurance Practices for Board and Executive Management
- Information Systems Strategy
- Policies and Procedures
- Risk Management
- IS Management Practices
- IS Organizational Structure and Responsibilities
- Auditing IT Governance Structure and Implementation

3. Systems Development and Life Cycle Management

- SDLC Methodologies
- Project View of Deliverables
- Audit View of risk, control and audit techniques

4. Application Controls

- Input Controls
- Processing Controls
- Output Controls

5. General Controls

- IS Hardware and Systems Software
- IS Operations
- IS Network Infrastructure
- Protection of Information Assets
- Physical Security
- Logical Security

6. “Increased Audit Productivity”

- Introduction to Computer Assisted Audit techniques (CAATs) / Continuous Auditing

7. Disaster Recovery and Business Contingency Plans

Course Materials

- Content-rich handouts
- Related ISACA Audit Programs
- ISACA IT Audit and Assurance Standards, Guidelines, and Tools Techniques
- ISACA IT Audit Framework (ITAF)

Training Delivery Method

On-site, Facilitator-led Course, Interactive

Pre-requisites

IT and other professionals who have little or no Auditing Backgrounds

What benefit does this training aims to provide?

Helps the participant become a modern and effective IT auditors right after the seminar/training.

“The Fundamentals of IT Auditing Seminar”
February 11, 18 & 25, 2012
Mr. Juan B. Estioko, MBA, CPA, CISA, CFE, CICA

About the Resource Speaker:



Juan “John” B. Estioko is currently the First Vice President & Chief Technology Executive of the Philippine Bank of Communications. He is an experienced professional having been employed earlier by other banks, one of which was in Saudi Arabia. He had served various functions such as mainframe programming and systems analysis, financial, operations and information systems audits and risk management and regulatory compliance.

He is presently a member of the Audit Committee of Bancnet, a member of the board of the ISACA Manila, IIA Philippines and ACFE Philippines, a member of the local and international associations of certified fraud examiners and a member of the Bank Administration Institute – Philippines. He has been and still a resource person of ISACA Manila, IIA-Philippines and ACFE Philippines in their public/ in-house training/seminar on Fundamentals of IS Auditing and Fraud, Detection and Prevention.

He had talked on these topics in in-house training with the banks’ regulator, with some big corporations in various industries and with various government agencies through the partnership of ISACA Manila and the National Computer Center / Commission on Information and Communications Technology. He is one of IIA-Philippines’ reviewers in Part 3 of the CIA review classes and was also sent thrice by IIA Philippines to Malaysia to conduct a review in the same area of the CIA review classes of IIA-Malaysia. He is also a reviewer on IT Governance in ISACA Manila’s CISA review classes. He is also a reviewer in various modules of the Certified Internal Control Auditor (CICA) and Certified Forensic Accountant (CrFA) examination.

John holds a degree of Bachelor of Science in Commerce at the Polytechnic University of the Philippines. He finished his master’s degree in business administration at De La Salle University Graduate School of Business Administration. He is a CPA (Certified Public Accountant), a Certified Information Systems Auditor (CISA), a CFE (Certified Fraud Examiner) and a CICA (Certified Internal Control Auditor). He recently served the Institute of Internal Controls (IIC), USA as reviewer on Parts 5 and 6 for the first batch of Certified Internal Control Auditors (CICA) candidates in the Philippines.

Course Fees:

ISACA Members — P11,025.00
Non Members — P14,962.00
(Non –VAT)

Venue:

ISACA Manila Professional Development Center
Suite 2109 Cityland 10 Tower 2, #154 H.V. Dela Costa St., Makati City

For inquiry and reservation, kindly call at T/F: (02) 894-2533

E-mail us at secretariat@isaca-manila.org or secretariat@isaca-manila.org

The course is limited to 25 participants. Priority will be given to those with advance payment.

HURRY! FIRST COME , FIRST SERVE!

“The Fundamentals of IT Auditing Seminar”
February 11, 18 & 25, 2012
Mr. Juan B. Estioko, MBA, CPA, CISA, CFE, CICA

NAME _____	MEMBERSHIP
COMPANY NAME _____	<input type="radio"/> ISACA Member _____ <input type="radio"/> Non Member
COMPANY ADDRESS _____	•Please specify membership no. PAYMENT: (pls check one) ___ Company ___ Personal
CURRENT FIELD OF EMPLOYMENT & PROFESSIONAL ACTIVITY _____	CONTACT DETAILS: _____
YEARS OF EXPERIENCE _____	EMAIL ADDRESS: _____

- Fees, speakers and date are subject to change
- Please make your checks payable to “Information Systems Audit and Control Association”
- Training Fee is inclusive of Training Kit, Refreshments and Training certificate
- Any cancellations received within the last ten calendar days would be liable for 50% of the course fees.
- Registered attendees who are unable to attend the above course can send replacements subject to one week’s notification.
- Cancellations must be received in writing at least one week prior to course commencement
- No-shows would be fully charged

I/We hereby agree to the terms and condition as declared by the ISACA Manila Chapter.

Name/Representative: _____
 Signature : _____
 Contact No. : _____
 Date : _____